



CEF National Info Day - Slovenia

Submission and evaluation process

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CINEA.B2 - CEF Transport

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Reference documents

- From CEF1 to CEF2: https://cinea.ec.europa.eu/connecting-europe-facility/transport-infrastructure/cef-transport-templates-forms-reporting_en
- Available on Funding and Tenders portal: <https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/how-to-participate/reference-documents>
 - ❑ CEF2 Regulation
 - ❑ Work Programme on the financing of the CEF-T sector for 2021-2027
 - ❑ Call documents: 13 in total covering 77 topics
 - ❑ Model GA (for General and Unit contributions) & guidance
 - ❑ Templates and forms for application forms and GA preparation

Overview calls

| CEF Programme structure | | General envelope | Cohesion envelope | |
|--|---|---|--|---|
| Completion of the TEN-T network | Projects on the Core Network | CEF-T-2021-COREGEN (8 topics) Indicative budget €1,62 billion | CEF-T-2021-CORECOEN (8 topics) Indicative budget €2 billion | |
| | Projects on the Comprehensive Network | CEF-T-2021-COMPGEN (8 topics) Indicative budget €250 million | CEF-T-2021-COMPCOEN (8 topics) Indicative budget €350 million | |
| Modernisation of the TEN-T network | Actions related to smart and interoperable mobility | CEF-T-2021-SIMOBGEN (19 topics) Indicative budget €400 million | CEF-T-2021-SIMOBEOEN (1 topic) Indicative budget €150 million | |
| | Actions related to sustainable and multimodal mobility | AFIF | CEF-T-2021-AFIFGEN (3 topics) Indicative budget €1,2 billion | CEF-T-2021-AFIFEOEN (3 topics) Indicative budget €375 million |
| | | Other | CEF-T-2021-SUSTMOBGEN (5 topics) Indicative budget €100 million | CEF-T-2021-SUSTMOBEOEN (2 topics) Indicative budget €25 million |
| | Actions related to safe and secure mobility | | CEF-T-2021-SAFEMOBGEN (5 topics) Indicative budget €100 million | CEF-T-2021-SAFEMOBEOEN (5 topics) Indicative budget €150 million |
| Civilian-defence dual-use (Military Mobility envelope) | | CEF-T-2021-MILMOB (2 topics) Indicative budget €330 million | | |

Call/topic matrix on CINEA's website

https://cinea.ec.europa.eu/calls-proposals/2021-cef-transport-call-proposals_en

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A list of national contact points for CEF Transport is available for potential applicants.

Call matrix

Projects on the Core Network

Envelope General (€1.62 billion)

Cohesion (€2 billion)

| ID | COREGEN | | | CORECOEN | | |
|---------------|---|---|--------------------------------------|---|---|--------------------------------------|
| | Rail - studies | Rail - works (mixed) | Maritime ports - studies | Rail - studies | Rail - works (mixed) | Maritime ports - studies |
| | | | Inland waterways and ports - studies | | | Inland waterways and ports - studies |
| Topics | Maritime ports - works (mixed) | Inland waterways and ports - studies | waterways and ports - works (mixed) | Maritime ports - works (mixed) | Inland waterways and ports - studies | waterways and ports - works (mixed) |
| | Roads, rail-road terminals and multimodal logistics platforms - studies | Roads, rail-road terminals and multimodal logistics platforms - works (mixed) | | Roads, rail-road terminals and multimodal logistics platforms - studies | Roads, rail-road terminals and multimodal logistics platforms - works (mixed) | |

Projects on the Comprehensive Network

Envelope General (€250 million)

Cohesion (€350 million)

| ID | COMPGEN | | | COMPCOEN | | |
|---------------|---|---|--------------------------------------|---|---|--------------------------------------|
| | Rail - studies | Rail - works (mixed) | Maritime ports - studies | Rail - studies | Rail - works (mixed) | Maritime ports - studies |
| | | | Inland waterways and ports - studies | | | Inland waterways and ports - studies |
| Topics | Maritime ports - works (mixed) | Inland waterways and ports - studies | waterways and ports - works (mixed) | Maritime ports - works (mixed) | Inland waterways and ports - studies | waterways and ports - works (mixed) |
| | Roads, rail-road terminals and multimodal logistics platforms - studies | Roads, rail-road terminals and multimodal logistics platforms - works (mixed) | | Roads, rail-road terminals and multimodal logistics platforms - studies | Roads, rail-road terminals and multimodal logistics platforms - works (mixed) | |

Smart and interoperable mobility

Envelope General (€400 million)

Cohesion (€150 million)

| ID | SIMOBGEN | | | SIMOBCOEN | | |
|----|---------------|---------------------|--|-------------|--|--|
| | ERTMS | | | ERTMS | | |
| | ITS - studies | ITS - works (mixed) | | SESAR-DSDIU | | |

Application

- Application Form Part A - contains administrative information about the participants and the budget per cost category for the project
- Application Form Part B - contains the technical description of the project
- Detailed budget table per work packages, applicant and reporting period
- Agreement by the concerned Member States (letter of support)
- Timetable Gantt Chart
- Depending on a topic requirements the following might be required (non-exhaustive):
 - Environmental compliance file
 - Cost-benefit analysis (CBA)
 - Implementing Partner financial approval letter / Non-implementing financial approval letter
 - Military network map declaration

CBA

Guidance on Economic Appraisal for CEF Transport projects

| Work programme “Objectives” | Priorities | Requirement |
|--|--|--|
| 6.1 Projects related to the efficient, interconnected, interoperable and multimodal networks | | |
| | Railways | Full CBA / Simplified < 10 m€ * |
| | Inland waterways and inland ports | Full CBA / Simplified < 10 m€ * |
| | Maritime ports | Full CBA / Simplified < 10 m€ * |
| | Roads, rail-road terminals, connections to airports and multimodal logistics platforms | Full CBA / Simplified < 10 m€ * |
| 6.2 Projects relating to smart, interoperable, sustainable, multimodal, inclusive, accessible, safe and secure mobility | | |
| 6.2.1 Projects related to smart and interoperable mobility | ERTMS | No CBA |
| | ITS | No CBA |
| | RIS | No CBA |
| | SESAR common projects | No CBA |
| | SESAR other projects | No CBA |
| | Transport interoperability | No CBA |
| 6.2.2 Projects related to sustainable and multimodal mobility | Alternative fuels infrastructure | Implementing Partner: No CBA Others: Simplified CBA |
| | Motorways of the Seas | Full CBA / Simplified < 10 m€ * |
| | Multimodal passenger hubs | Full CBA / Simplified < 10 m€ * |
| | Reduction of rail freight noise | No CBA |
| 6.2.3 Projects related to safe and secure mobility | Safe and secure parking infrastructure | Full CBA / Simplified < 10 m€ * |
| | Road safety | Full CBA / Simplified < 10 m€ * |
| | Projects improving transport infrastructure resilience | Full CBA / Simplified < 10 m€ * |
| | External border checks | Full CBA / Simplified < 10 m€ * |
| 6.3 Military mobility | | |
| | Military mobility | No CBA |

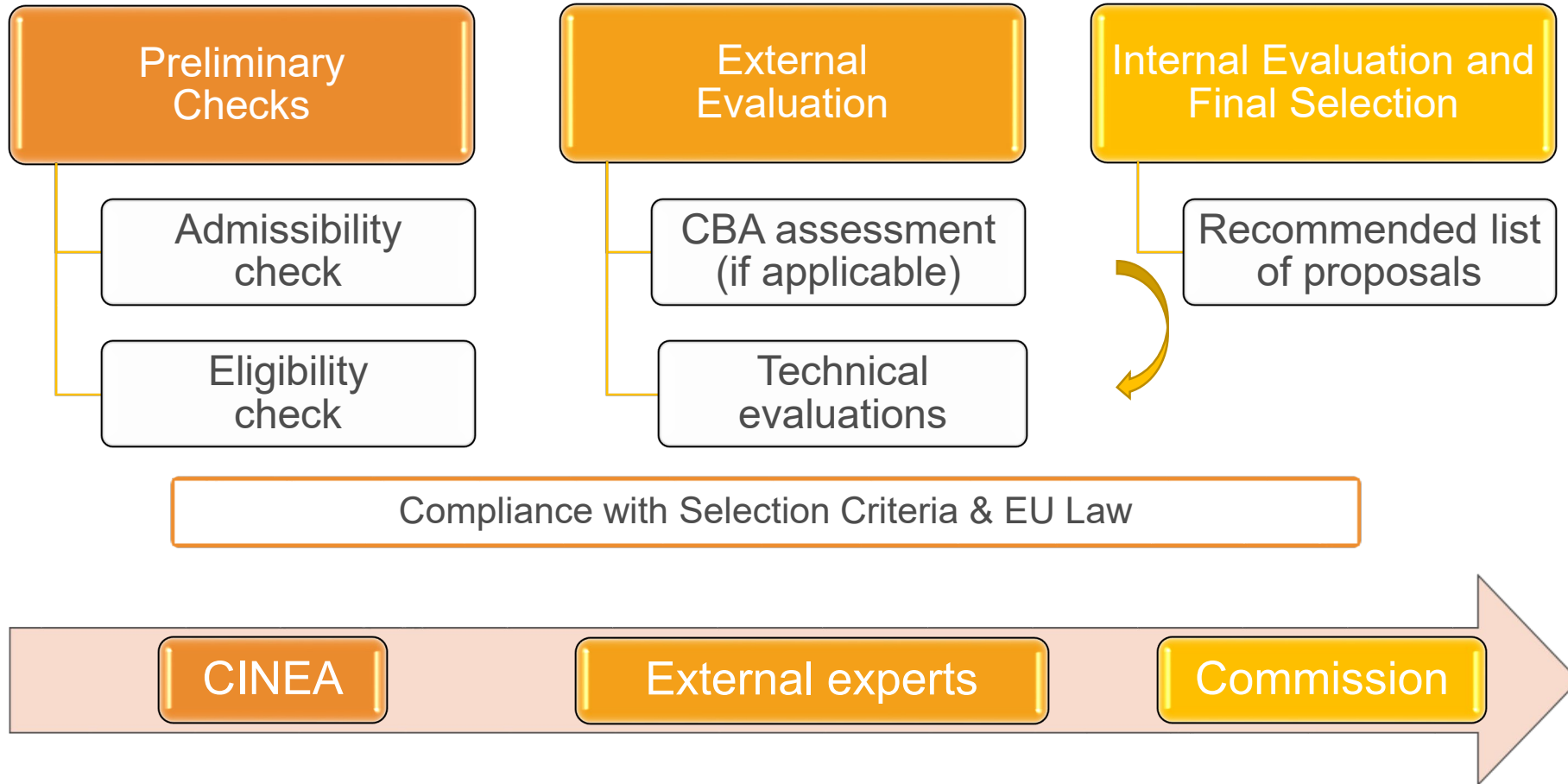
Tips for the preparation

- Read carefully the workprogramme, call text, FAQs, etc.
- Clarify any outstanding questions: CINEA-CEF-TRANSPORT-CALLS@ec.europa.eu
- Contact Member State early to seek their agreement (and advice)
- Understand changes between CEF1 and CEF2 (IT support system, no administrative follow-up during admissibility checks, budgetary information, no implementing bodies, ranking of proposals, changes in terminology, e.g. action/project)
- Consider timing and duration
 - Costs under calls 2021 can be eligible from 1 January 2021
 - Duration of projects: 2/3 years for studies and 4/5 years for works or mixed, max. until 31 December 2026

Tips for the application

- Get acquainted with the eGrant submission tool
- Timely preparation and submission
- Fulfilment of call's conditions
- Adequate level of information in all required sections
- Part B: Page restriction of 120 pages (exceeding pages are cut)
- To facilitate the evaluation provide the requested information in a clear, factual and concise manner

Principles for the evaluation and selection process



Preliminary checks

Verification of compliance with:

- admissibility requirements such as submission on time, signature by applicants and completeness
- eligibility criteria for participants and activities
- selection criteria:
 - operational capacity: i.e. professional competencies and appropriate qualifications to successfully complete the proposed project
 - financial capacity: i.e. stable and sufficient resources to successfully complete the proposed project

External evaluation - Award criteria

| Award criteria | Minimum pass score | Maximum score |
|------------------------------|--------------------|---------------|
| Priority and urgency | 3 | 5 |
| Maturity | 3 | 5 |
| Quality | 3 | 5 |
| Impact | 3 | 5 |
| Catalytic effect | 3 | 5 |
| Overall (pass) scores | 15 | 25 |

Award criteria 1/2

- **Priority and urgency**: evaluating the **correspondence** of the proposal with the sectoral policy objectives and priorities, measuring its **EU added-value** and where applicable assessing the possible synergies with other sectors; (5 points)
- **Maturity**: assessing the **maturity** of the action in the project development. The criterion will measure, among others: i) the **readiness/ability** of the project to start by the proposed start date and to **complete** by the proposed end date, ii) the **status** of the contracting procedures and of the necessary permits, and iii) information on the **financial availability** needed to complement the CEF investment; (5 points)
- **Quality**: evaluating the **soundness** of the implementation plan proposed, both from the technical and financial point of view, the architecture and design approach, the organisational **structures** put in place (or foreseen) for the implementation, the risk analysis, the control procedures and quality management and the **communication** strategy of the applicant. Moreover, when applicable, it will also assess the information related to the maintenance strategy proposed for the completed project; (5 points)

Award criteria 2/2

- **Impact**: assessing, when applicable, **the economic, social and environmental impact, including the climate impact, and other relevant externalities**. This criterion may be substantiated by a Cost Benefit Analysis (**CBA**) or, in the absence of such tools, other forecast of end-user take-up, in which case the evaluation will look at the soundness, comprehensiveness, and transparency of the analysis as well as proposed means to monitor its impact. **Moreover**, when applicable, the criterion will assess, among others, the innovation and digitalisation, safety and interoperability and accessibility aspects of the proposal, as well as its **cross-border dimension, effect/contribution to the network** territorial accessibility; (5 points)
- **Catalytic effect**: evaluating the financial gap (for instance the need to overcome financial obstacles generated by insufficient commercial viability, high upfront costs or the lack of market finance), the capacity to mobilise different investments sources, the capacity to trigger important overall investments with limited EU support and when appropriate the extent to which externalities justify the CEF financial support. It shall assess the **catalytic effect of the EU financial support** and determine whenever possible the actual co-funding rate to be granted. (5 points)

Selected proposals - grant preparation

- Successful applicants will be invited by CINEA to prepare the Grant Agreement via eGrants tool together with the EU Project Officer
- Grant preparation involves a dialogue in fine-tuning of technical and financial aspects, such as milestones, deliverables, etc., and implementation of recommendations from evaluation if applicable
- Grant Agreement provisions are defined in the Model Grant Agreement (MGA) and are not-negotiable
- The Model Grant Agreement that will be used (and all other relevant templates and guidance documents) can be found on Portal Reference Documents

Tentative timeline

| | |
|--|---|
| Call publication | 16 September 2021 |
| Deadline | 19 January 2022 17:00.00 (Brussels time) |
| Evaluation of proposals | February-May 2022 |
| Consultation of CEF Coordination Committee Information of European Parliament | June 2022 |
| Launch of Grant Preparation (Inform applicants) | June/July 2022 |
| Time To Grant | October 2022 |

Alternative Fuels Infrastructure Facility

Main differences:

- Applications with support of implementing partner or other financial institutions
- Different cut-off days
 - Eligibility of costs as from 01.01.2021 only for the first cut-off date: i.e. 19.01.2021
- Internal evaluation
- Advisory Hub for financial and technical support

Grant implementation

- Payments:
 - Pre-financing, Interim (mostly) and Payment of the Balance (final payment)
- Reporting:
 - Periodic reports (technical with/without payment) and continuous via deliverables and milestones
- Suspension, termination and reduction:
 - Breach of obligations, e.g. the project does not start as planned or significant delays/changes
- Amendments
 - Possible but may not put into question the award of the grant
- Communication and visibility
 - Guidelines are available and must be followed

Budget requirements for proposals

- I. Detailed budget breakdown (based on cost category) – eGrants
- II. Detailed budget table per work package (for standard costs - same concept as under CEF1)
- III. Detailed budget table and calculator (for unit contributions)

I and either II or III are mandatory submitted with each proposal.

Budget requirements for proposals

I) Detailed budget breakdown per cost category

- Structured financial information – encoded directly via the Funding & Tenders portal
- Based on five cost categories: A. personnel, B. subcontracting, C. purchase, D. other cost categories and E. indirect costs.
- In principle one funding rate per proposal, which could be increased (“top-up”) under certain conditions and exceptions for ‘D.2 studies’ in mixed proposals (works & studies) and ‘D.4 works in outermost regions’
- To be encoded per participant.
- NOTE: category E. indirect costs are ineligible under CEF! Category E only is listed due to IT system constraints in the eGrant environment.

Budget requirements for proposals

I) Detailed budget breakdown cost category - works

30% Funding Rate
 50% Funding rate for cross border links
 55% Funding rate for cross border links with integrated management
 85% Funding rate for railway links between cohesion countries
 90% Funding rate for railway links between cohesion countries with integrated management

Application forms
 Proposal ID SEP-210784515
 Acronym ██████████

3 - Budget

If your proposal fulfils the criteria specified in the call documents, you can select below a different reimbursement rate (the standard rate is selected by default):

50% Funding Rate

| No. | Name of beneficiary | Country | Role | Personnel costs - without volunteers /EUR | Subcontracting costs/EUR | Purchase costs - Travel and subsistence/ EUR | Purchase costs - Equipment/ EUR | Purchase costs - Other goods, works and services/ EUR | Financial support to third parties/ EUR | Studies/ EUR | Synergetic elements/ EUR | Works in outermost regions/ EUR | Land purchases/ EUR | Indirect costs/EUR | Total eligible costs/EUR | Ineligible costs/EUR | Total estimated project costs and contributions/ EUR | Funding rate | Maximum EU contribution on to eligible costs/EUR | Requested EU contribution on to eligible costs/EUR | Max grant amount/ EUR | Income generated by the project/ EUR | In kind contributions/ EUR | Financial contributions/ EUR | Own resources/ EUR | Total estimated project income/ EUR | |
|--------------|-------------------------|---------|-------------|---|--------------------------|--|---------------------------------|---|---|----------------------|--------------------------|---------------------------------|---------------------|--------------------|--------------------------|----------------------|--|--------------|--|--|-----------------------|--------------------------------------|----------------------------|------------------------------|--------------------|-------------------------------------|------|
| | | | | | | | | | | Special Funding rate | | Special Funding rate | | | | | | | | | | | | | | | |
| 1 | Charalampos Xenogiannis | BE | Coordinator | | | | | | ⊘ | 0 | | | | ⊘ | 0,00 | 0,00 | 0 | 50 | 0,00 | | 0,00 | | 0 | 0 | 0 | 0 | 0,00 |
| Total | | | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0,00 | 0,00 | 0 | 0 | 50 | 0,00 | 0,00 | 0,00 | 0 | 0 | 0 | 0 | 0,00 | |

Possible higher funding rate for (only) works under certain conditions

Cost category D.3 allows to extend the base of eligible cost to elements of other CEF sector, same funding rate as other cost categories

Cost category D.4: Costs in this category have a dedicated funding rate

Cost category D.5: Land purchase only eligible under cohesion calls

Budget requirements for proposals

II) Detailed budget table per work package

- Encoded in an Excel file available for download and to be uploaded after completion (unstructured financial information)
- No differentiation per cost category whatsoever.
- Based on costs per work package and allows encoding a different funding rate per work package.
- To be encoded as totals per work package and per applicant (several applicants per work package are possible) and per reporting period.
- Calculates the totals per work package and per participants for each reporting period

Budget requirements for proposals

II) Detailed budget table per work package

1.

| START (BUDGET FORECAST PER WP) | |
|--------------------------------|--|
| PROJECT DATA | |
| Project number: | |
| Project acronym: | |

2.

| Work package name | Funding Rate |
|-------------------|--------------|
| WP name 1 | 0% |
| WP name 2 | 0% |
| WP name 3 | 0% |
| WP name 4 | 0% |

3.

| Participant name |
|-------------------|
| Participant name1 |
| Participant name2 |

4.

| DETAILED BUDGET TABLE PER WP | | | | | | | | | |
|--|-------------------|--------------------|--------------------|--------------------|--------------------|--------------------|-------------|---------------------------------|-----------------|
| PROJECT DATA | | | | | | | | | |
| Project number: 0 | | | | | | | | | |
| Project acronym: 0 | | | | | | | | | |
| BUDGET BREAKDOWN PER WORK PACKAGE AND PARTICIPANT | | | | | | | | | |
| <i>Reporting period can be added/deleted as needed</i> | | | | | | | | | |
| Work Package | Participant | Reporting period 1 | Reporting period 2 | Reporting period 3 | Reporting period 4 | Reporting period 5 | Total costs | Funding rate (for work package) | EU contribution |
| WP name 1 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| WP name 1 | Participant name2 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| WP name 2 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| WP name 3 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| WP name 4 | Participant name1 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0% | 0.00 |
| Total | | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | | 0.00 |

5.

| # | EU CONTRIBUTION | TOTAL COSTS |
|---------------------------|-----------------|-------------|
| ENCODE VALUE FROM EGRANTS | 0 | 0 |
| DIFFERENCE | 0 | 0 |

Budget requirements for proposals

III) Detailed budget table and calculator (unit contribution topic only)

- Calculated in an Excel file available for download and to be uploaded after completion (unstructured financial information)
- One Excel template for each of the unit costs types (RFN, ERTMS, AFIF), which allows encoding the unit numbers per category of unit costs and calculates the total funding.
- Result of calculation to be encoded in the “requested funding” column of the standard budget table.
- No differentiation per cost category or funding rates are applicable for unit costs topics.

Budget requirements for proposals

Consequences for budget planning and structure

- Total costs must match between the budget tables per cost category (structured) and per work package (unstructured financial information)
- In case of divergences the information in the structured data will prevail.
- Plan and prepare your accounting: Any cost foreseen to be claimed for reimbursement in the future must be clearly attributable under both approaches (cost categories and work packages) (except unit cost!)
- Special cost categories leading to mixed funding rates: Define according activities as homogenous work packages for simplicity's sake!

Thank you



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